

FORM NL-32-STATEMENT OF DOWN GRADED INVESTMENTS

Registration Number: 134

Statement as on: 31st December 2021

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund : Universal Sompo**(Amount in Rs. Lakhs)**

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A. <u>During the Quarter</u> ¹									
1	NIL								
B. <u>As on Date</u> ²									
1	7.65% IL&FS - 26-Jul-2022	OLDB	1000.00	26-Jul-2017	ICRA	BB	D	17-Sep-18	Change in category
2	7.65% IL&FS - 26-Jul-2022	OLDB	1000.00	26-Jul-2017	ICRA	AAA	BB	09-Sep-18	Change in category

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM shall be prepared in respect of each fund.
- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority
- 5 Investment Regulations, as amended from time to time, to be referred